- 1 -

Docket: 0756-1603

| IN THE UNITED STATES PATENT                 | AND TRADEMARK OFFICE #1010S          |
|---|--------------------------------------|
| In re PATENT application of                 | ) 11-39                              |
| SHUNPEI YAMAZAKI et al                      | (), Co                               |
| Serial No. 08/841,644                       | ) Group Art Unit: 1104               |
| Filed: 04/30/1997                           | ) Examiner: L. Radomsky              |
| For: SEMICONDUCTOR DEVICE AND               | )                                    |
| METHOD FOR FORMING THE SAME                 |                                      |
|   | RECEIVED                             |
|   | OCT 20 1999                          |
| INFORMATION DISCLOS                         | URE STATEMENT TECHNOLOGY CENTER 2800 |
| Honorable Assistant Commissioner of Patents |                                      |
| Washington, D.C. 20231                      |                                      |
| Sir:  |                                      |

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith attached Form PTO-1449 listing a reference known to Applicant and requests that this references be made of record in the above identified application. A copy of the reference listed is submitted herewith in accordance with 37 C.F.R. 1.98(a).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380. A duplicate copy of this sheet is attached.

Respectfully submitted,

CERTIFICATE OF MAILING

hereby certify that

Eric J. Robinson Reg. No. 38,285

Sixbey, Friedman, Leedom & Ferguson, P. Commissioner for Patents, Washington, 8180 Greensboro Drive Suite 800 8180 Greensboro Drive, Suite 800 McLean, Virginia 22102

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correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant